

DIASTereo- AND ENANTioSELECTIVE SYNTHESIS OF 1,2-DIOLS
BY VANADIUM(II) PROMOTED PINACOL CROSS COUPLING.

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Abstract. The V(II) promoted pinacol cross coupling of a chiral aromatic aldehyde with chiral aliphatic aldehydes occurs to afford syn diols in up to 91.9 diastereoisomeric ratio and up to 84% enantiomeric excess. The pinacol coupling of (S)-lactaldehyde and (R)-glyceraldehyde derivatives has also been studied and matching and mis-matching pairs have been identified. The stereochemistry of the products was established by correlation. The sense and degree of stereoselection is discussed.

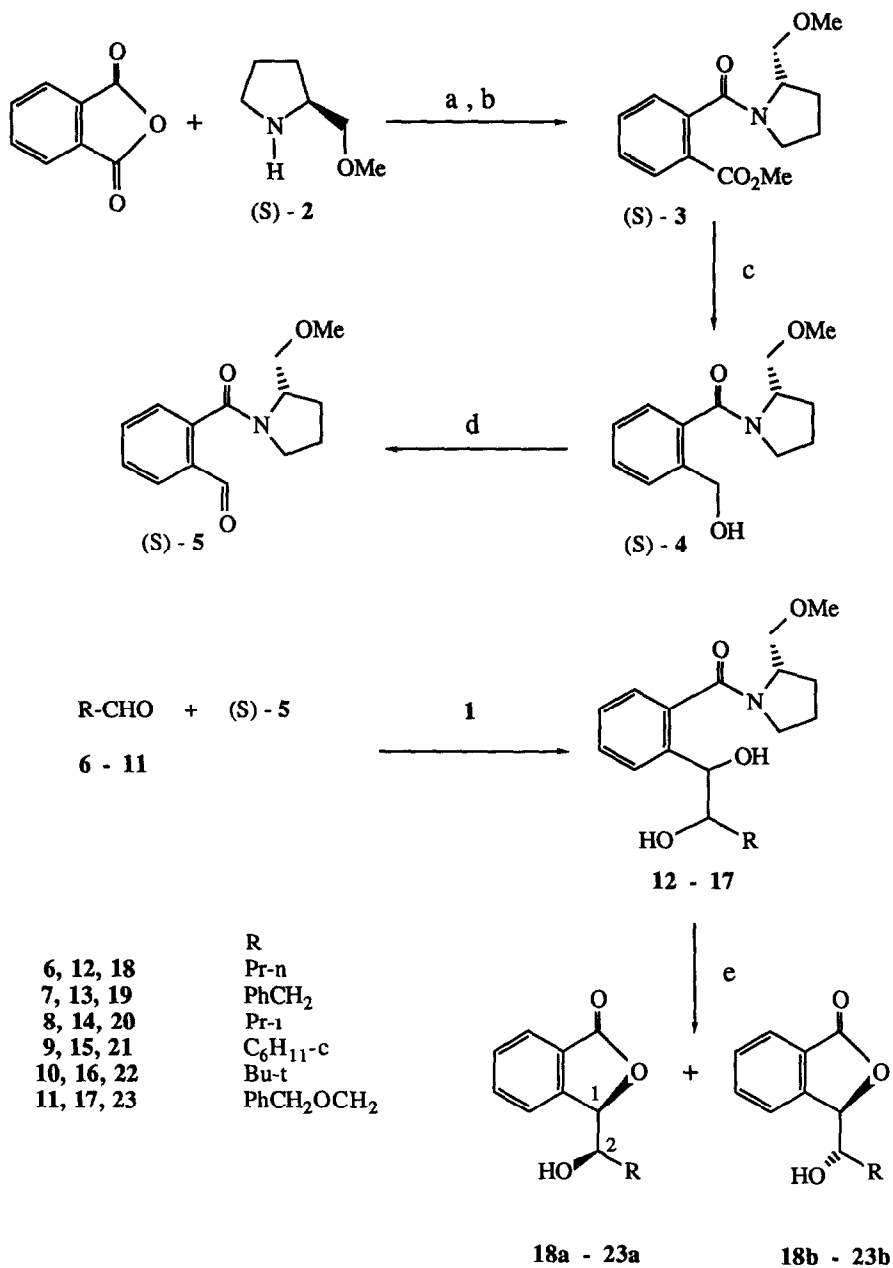
Very recently Pedersen and co-workers reported an efficient synthesis of 1,2 diols^{1,2} that exploited an intermolecular pinacol coupling³ of aldehydes promoted by the vanadium(II) complex **1**⁴. In its most useful version this reaction allows to cross-couple, with high syn-stereoselectivity, an aliphatic aldehyde with a "ligand accelerated" aliphatic¹ or aromatic² aldehyde. In the former case one of the two carbonyl compounds must bear in the β or γ position an amide group that is believed to favour the cross-coupling by intramolecular complexation.¹

We reasoned that if the amide function is playing such an important role in this reaction, insertion of a chiral amide group could result in the control of the absolute stereochemistry of the products, thus making the pinacol condensation an attractive alternative to other enantioselective 1,2-diol syntheses.⁵⁻⁸ Indeed, this method can be superior to osmylation⁵ or to the epoxide formation/opening protocol⁶ since it does not require control of alkene geometry, and can be more general than reduction or alkylation of α -alkoxy carbonyls⁷ or than addition of α -alkoxy anions to aldehydes,⁸ since these approaches demand enantiomerically enriched reagents.

We here report⁹ that the use of an amide chiral auxiliary leads to an highly enantioselective version of the pinacol coupling, thus greatly expanding the potentialities of Pedersen's diol synthesis.

Chiral amide (S)-**5** was easily prepared in 60-65% overall yield from phthalic anhydride and (S)-2-methoxymethylpyrrolidine **2**¹⁰ via ester **3** and alcohol **4** as described in Scheme

Scheme 1



Reagents a benzene, reflux, b CH₂N₂, THF, c NaBH₄, t-BuOH, MeOH, reflux, d PDC, CH₂Cl₂, e PTSA, THF

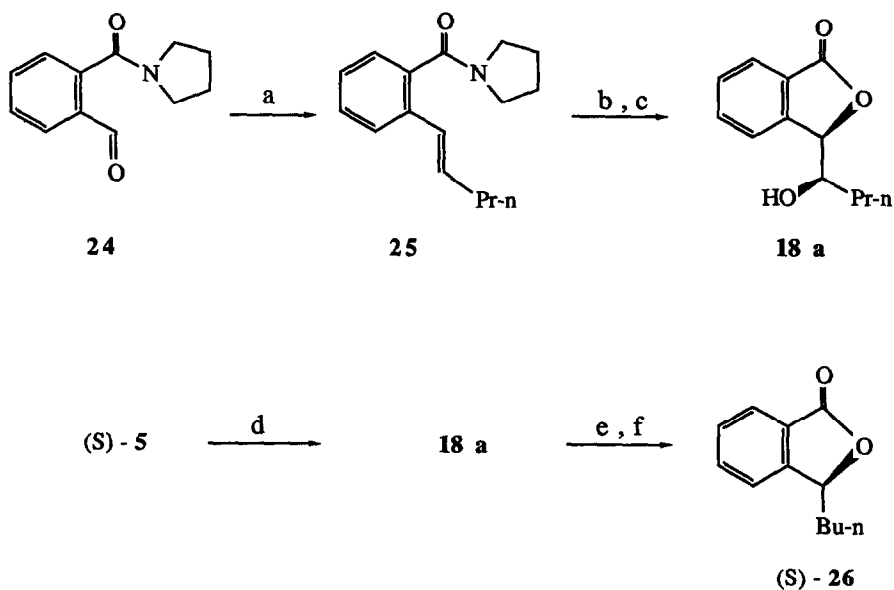
1.¹¹ Pinacol coupling of (S)-5 with a series of aliphatic aldehydes^{1,12} in the presence of 1, followed by acidic work-up (see Experimental) afforded mixtures of diols 12-17 together with minor amounts of the corresponding lactones 18-23. Since the conversion of 12-17 into 18-23 greatly simplifies the evaluation of the stereochemical outcome,¹³ and in principle¹⁴ allows the recovery of the chiral auxiliary, the crude products of the coupling reactions were directly converted into five membered lactones 18-23 ($\nu_{C=O}$ 1760-1770 cm^{-1}). Yields, diastereoisomeric ratios (d.r.'s) of compounds 18a,b-23a,b (as determined by 300 MHz $^1\text{H-NMR}$ spectroscopy), and enantiomeric excesses (e.e.'s) of the major isomers¹⁵ are collected in Table 1. The enantiomeric excesses were determined by LSR technique with $\text{Eu}(\text{hfc})_3$ in conditions pre-established on racemic samples of 18-23, synthesized by a sequence of reactions similar¹⁶ to those depicted in Scheme 1, starting from phthalic anhydride and pyrrolidine, via the 2-formyl amide 24.

The relative and absolute configuration was unambiguously assigned in the case of compound 18a by chemical correlation (Scheme 2) E-Alkene 25 was obtained from aldehyde 24 by Wittig reaction and isomer separation (Z/E ratio 66/34). Osmylation in Sharpless' stoichiometric conditions⁵ (in the presence of acetyl dihydroquinidine) and subsequent lactonization gave syn-18a, that showed the same $^1\text{H-NMR}$ spectrum and the same sign of rotation of the major isomer obtained by coupling of (S)-5. As crystallized¹⁵ sample of 18a (e.e. $\geq 96\%$) was deoxygenated to the known¹⁷ (S)-3-butylphthalide 26, a component of the essential oil of celery. Comparison of the rotation value of (S)-26 obtained via the pinacol route with that reported by Mukaiyama¹⁷ confirmed the enantiomeric purity ($\geq 96\%$) of crystallized 18a.¹⁸

On this basis the (R,R) absolute configuration was assigned to the major enantiomer of 18a.¹⁸ Assignment of the (R,R)-syn stereochemistry to the major isomers 19a-23a rests on the reasonable assumption that coupling of (S)-5 with 6-11 should follow the same stereochemical course. This is in agreement with previous results¹, and is confirmed by comparison of ^1H chemical shift values of the Ar-CH-O and R-CH-O signals of compounds 18-23 (see Table 4).

The data reported in Table 1 require a few comments. The d.r.'s of the reaction range from 4/1 to 9/1 and increase with increasing bulkiness of the aldehyde R residue, or when this group features an aromatic ring, very sterically demanding aldehyde 10 gave only syn 22, albeit in low yield. The e.e.'s of major isomers range from 75% to 84%, being only slightly sensitive to aldehyde R group variation, aldehyde 10 being now a negative exception. It must be noted that these e.e. values are rather high for these 1,6-inductions. Finally, it is worth mentioning that the e.e. of 18a obtained by pinacol

Scheme 2.



Reagents. a $n\text{-BuPPh}_3\text{Br}$, BuLi , Et_2O , E/Z separation, b OsO_4 , acetyldihydroquinidine, toluene, c PTSA, THF, d see Scheme 1, e thioacetylhydrazide, $\text{ClCH}_2\text{CH}_2\text{Cl}$, reflux, f Bu_3SnH , toluene, reflux

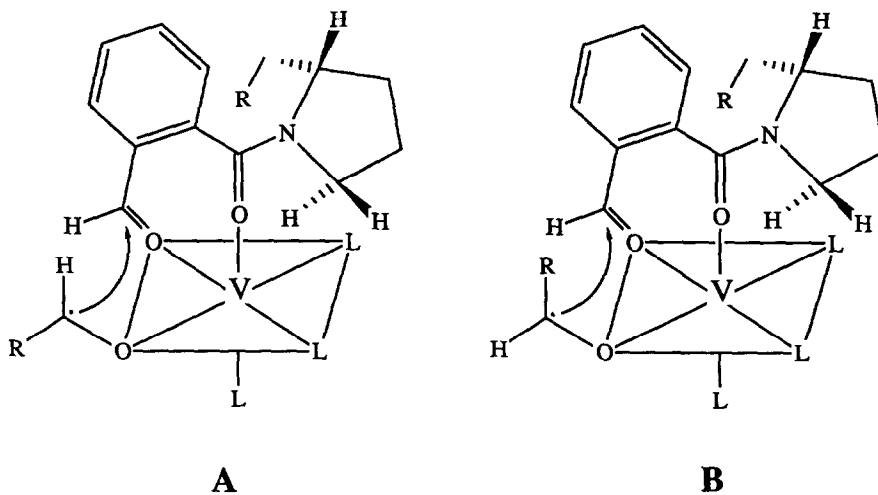


Table 1. Stereoselective synthesis of lactones 18-23 by coupling of (S)-5 with 6-11.

Aldehyde	Product	T(°C)	Yield % ^a	d.r. ^b	e.e. ^{b,c}
6	18	-40	46	55 45	56
6	18	22	62	80 20	77
6	18	60	55	80·20	80
6	18	80	44	84 16	85
7	19	22	70	91 9	75
8	20	22	62	89 11	84
9	21	22	55	88 12	83
10	22	22	17	98 2	50
11	23	22	78	81 19	82

^a Overall yield from 5. ^b As determined by 300 MHz ¹H NMR spectroscopy. ^c Of the major isomer

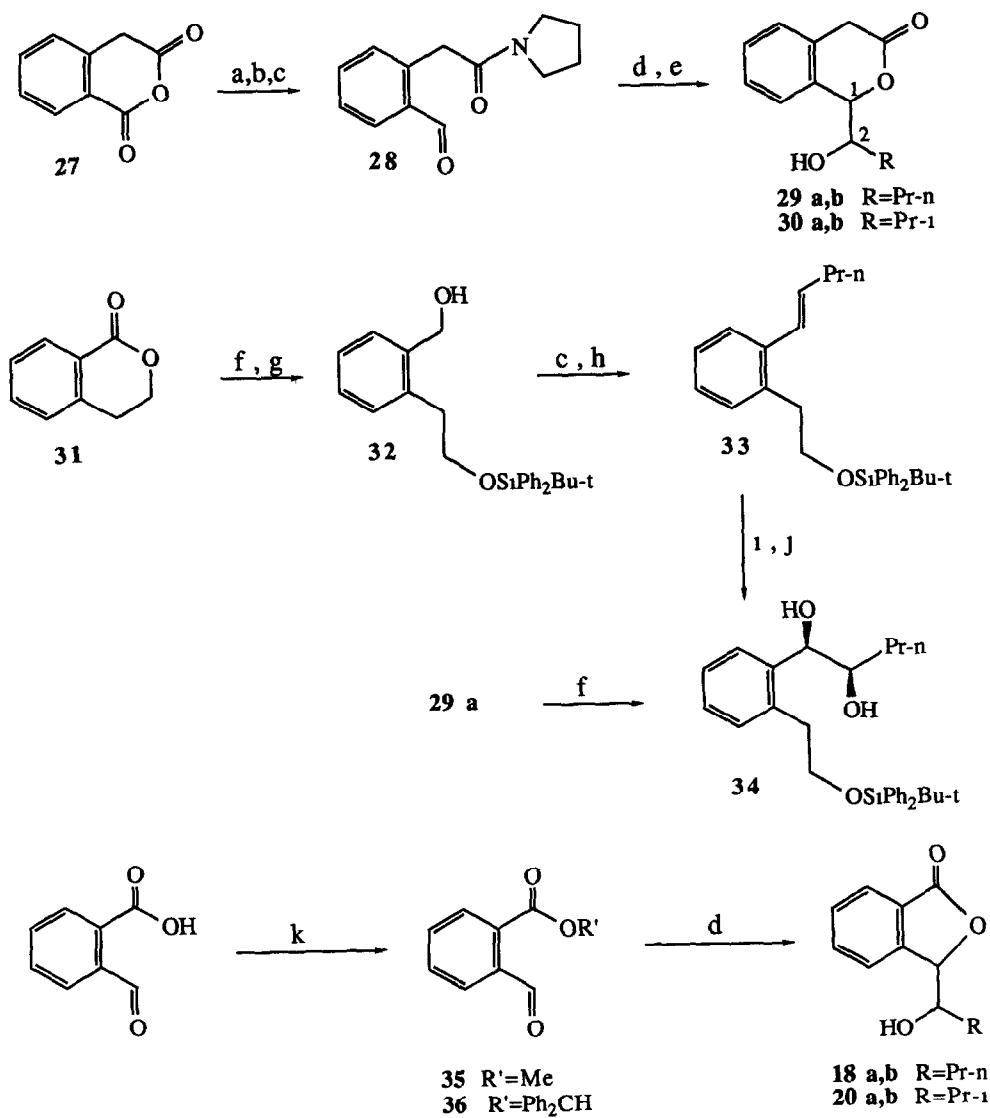
coupling (77%) is almost identical to that (80%) of the same compound obtained by enantioselective osmylation of E-25

Although the uncertainty about the mechanism of this pinacol coupling^{1,3} makes highly speculative any rationalization of its stereochemical outcome, as a working hypothesis we propose models A and B for the formation of major and minor isomers, respectively.

In both A and B the vanadium is coordinated in a seven membered chelate to the aldehyde and amide oxygens of (S)-5 and bound to the anion radical, deriving from the aliphatic aldehyde, which attacks the si face of 5 to minimize steric repulsion with the methoxymethyl group of the chiral auxiliary,¹⁹ forced in the indicated conformation by the ligands at vanadium. The more favourable arrangement of the R residue and aromatic ring in A with respect to B accounts for the preferential formation of syn-isomers. This is in agreement with the experimental results, since an increase of the steric requirements of the R residue leads to an increase of syn stereoselection.²⁰

To gain further insight into the process, we studied the effect of a variation of the temperature of the coupling reaction on the extent of stereoselection. Therefore aldehyde (S)-5 was reacted with 6 at -40°, 60° and 80°C (the last two reactions were carried out in 1,2-dichloroethane) (Table 1) The coupling at low temperature occurred

Scheme 3.



Reagents a pyrrolidine, benzene, reflux, b NaBH₄, t-BuOH, MeOH, reflux, c PDC, CH₂Cl₂,
 d 6 or 8, 1, CH₂Cl₂, e PTSA, THF, f LiAlH₄, Et₂O, g t-BuPh₂SiCl, imidazole,
 DMF, h n-BuPPh₃Br, BuLi, Et₂O, E / Z separation, 1 OsO₄

with a lower degree of diastereo- and enantioselectivity than that at room temperature, on the other hand a temperature increase was beneficial, although to a limited extent, for both d.r. and e.e. values. These results seem to point out that rotation about the amide bond plays a relevant role in determining the stereochemical outcome. The importance of the geometry in the transition state, in particular of the distance of the amide group with respect to the formyl one, was apparent from the cross-coupling, in the presence of **1**, of homologous amide **28**, obtained from homophthalic anhydride **27**, (Scheme 3) with butanal and 2-methylpropanal to give, after lactonization, compounds **29a,b** and **30a,b** with diastereoselections comparable (84:16 and 87:13, respectively) but in yields (30% and 36%, respectively) by far inferior to those observed in the pinacol coupling of **5** (Table 2). The pinacol coupling is also in this case a *syn* selective process, as shown in the case of **29a** by chemical correlation with triol **34**, derived by osmylation of E-alkene **33** (Scheme 3). It must be noted that in the case of amide **28** homo-coupling of the aromatic aldehyde becomes competitive with cross coupling, and that the same is true in the case of esters **35**, **36**. These were prepared (Scheme 3) *via* the cesium salt²¹ of 2-formyl benzoic acid by reaction with iodomethane and chlorodiphenylmethane. Cross-coupling in the presence of **1** with butanal and 2-methylpropanal and subsequent lactonization afforded compounds **18a,b** and **20a,b** with excellent diastereoselectivities (Table 2). This seems to confirm that it is indeed the amide or ester oxygen which is bound to vanadium in the transition state.

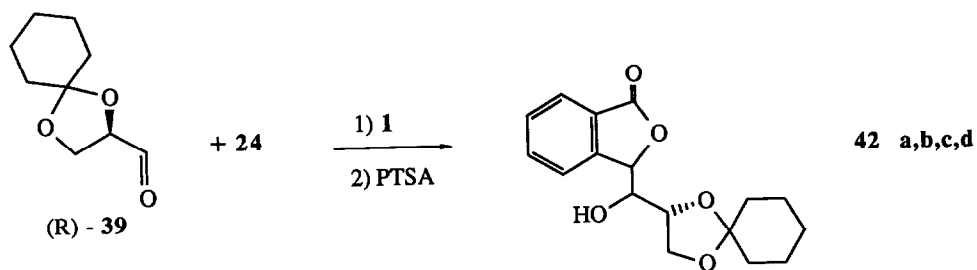
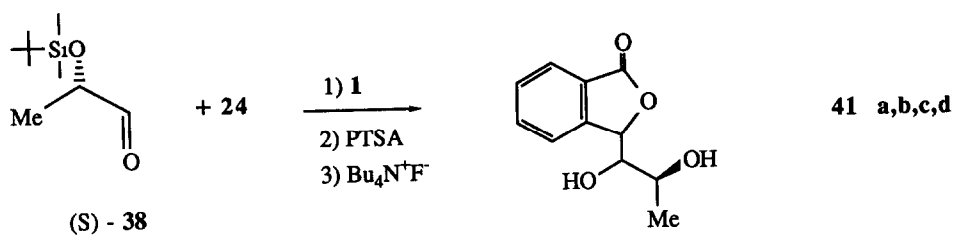
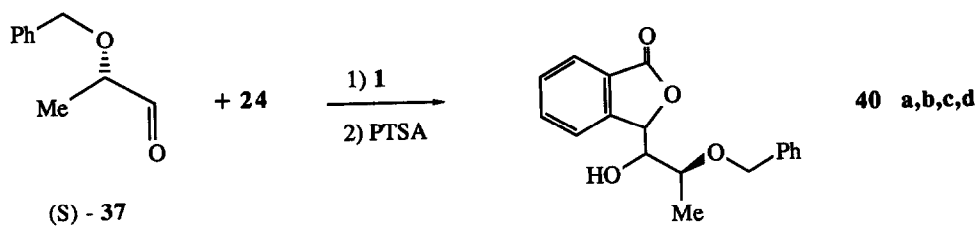
Having in hand a versatile and simple procedure for the diastereo- and enantioselective pinacol-type cross coupling, and in order to test the potentialities of our approach for

Table 2. Stereoselective coupling of **28**, **35**, **36** with aldehydes **6** and **8** at 22°C

Substrate	Aldehyde	Lactone	Yield % ^a	d r. ^b
28	6	29a,b	30	84:16
28	8	30a,b	36	87:13
35	6	18a,b	50	88:12
35	8	20a,b	54	97:3
36	6	18a,b	40	88:12
36	8	20a,b	20	98:2

^a Overall yield from **28**, **35**, **36**. ^b As determined by 300 MHz ¹H NMR spectroscopy

Scheme 4.



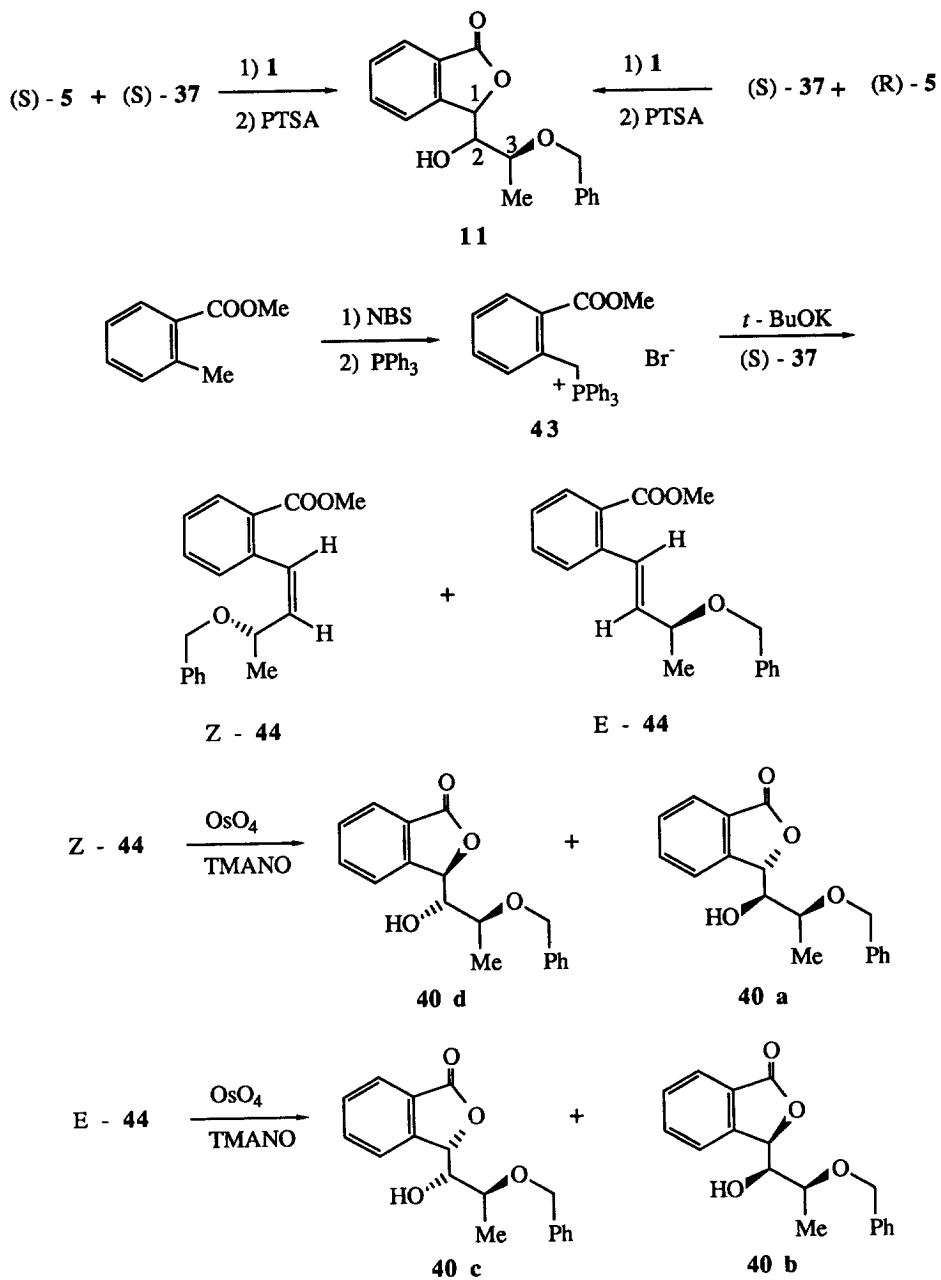
the synthesis of polyhydroxylated compounds, we decided to examine the reaction of (S)-5 with chiral α - and α,β -alkoxy aldehydes.²²

The chiral alkoxy aldehydes selected for our study were (S)-2-benzyloxypropanal **37**,²³ (S)-2-*t*-butyldimethylsilyloxy propanal **38**,²⁴ and (R)-cyclohexylidene glyceraldehyde **39**,²⁵ and the results were compared with those obtained (Table 1) in the case of benzyloxy acetaldehyde **11**,²⁶ an achiral equivalent of **37**. The behaviour of **11** in comparison with aliphatic aldehydes shows that the enantio- and diastereoselectivities are similar for alkoxy- and non-heterosubstituted aldehydes, and that the α -alkoxy group does not promote self coupling as a competitive process.²⁷

The stereoselectivity of the reaction of **37-39** with **24** in the presence of **1** was then examined (Scheme 4 and Table 3). From (S)-**37** and **24** compound **40** was obtained (68% yield) as a 8:34:56:2 mixture of **a,b,c,d** diastereoisomers (elution order) as evaluated by ¹H-NMR spectroscopy (Table 4). The reaction of (S)-**38** gave a partly desilylated product (by NMR analysis of the crude lactone mixture) that was completely deprotected by reaction with Bu₄N⁺F⁻ to give (62% overall yield) **41a,b,c,d** as a 10:41:47:2 mixture of four isomers. The fact that aldehydes **37** and **38** that feature alkoxy groups of well differentiated chelating ability,^{28,29} couple with **24** giving rise to similar product distribution suggests that the reaction does not involve an intramolecularly chelated alkoxy aldehyde. Finally the coupling of **24** and (R)-**39** afforded a 37:9:46:8 mixture of isomeric lactones **42a,b,c,d** in 69% yield.

With these results in hand we were ready to study the coupling between chiral partners (Table 3). To establish matching and mis-matching pairs,³⁰ compound (R)-5 was prepared⁴ starting from (R)-2-methoxymethylpyrrolidine. Reaction of (S)-5 with (S)-**37** promoted by **1** (Scheme 5) gave a 28:51:19:2 mixture of lactones **40a,b,c,d** in 63% yield, while the combination of (R)-5 and (S)-**37** afforded (66% yield) a 7:0:5:90:2:5 mixture of diastereoisomers, as the result of the coupling in which the configuration of the reagents co-operate in determining the stereochemical course of the reaction. The stereochemistry of the products was established by chemical correlation. Starting from methyl-2-methyl benzoate, benzylic bromination and reaction with triphenylphosphine gave phosphonium bromide **43**, that was condensed with (S)-**37** in Wittig conditions, to give a 66:34 mixture of alkenes Z-**44** and E-**44** (53% yield) that were separated by flash chromatography. Osmylation of Z-**44**, followed by spontaneous lactonization, gave a 70:30 mixture of products **40d** and **40a** in 73% yield, from E-**44**, **40c** and **40b** were obtained (70% yield) as a 66:34 mixture of isomers (Table 3). The configurational assignment resided on the stereochemical outcome⁵ of the osmylation of chiral allyl ethers that occurs to give

Scheme 5.



an excess of the anti product. This configuration was therefore assigned at C-2/C-3 (see Scheme 5 for numbering) of **40d** and **40c** and the syn-configuration at C-2/C-3 of **40a** and **40b**. The known course of the osmylation reaction (C-1/C-2 anti-products from *Z*-alkenes, C-1/C-2 syn-products from *E*-alkenes) allows the complete attribution of configuration indicated in Scheme 5.

The reaction of (R)- and (S)-**5** with (S)-**37** requires a few comments. The combination of the mis-matching pair, (S)-**5** and (S)-**37**, depresses the diastereofacial selection on the aromatic aldehyde, i.e. the intrinsic tendency of the coupling reaction to give C-1/C-2 products of syn-stereochemistry (syn/anti ratio 70/30), and proceeds with low diastereofacial preference also on the alkoxy aldehyde (C-2/C-3 syn/anti ratio 79/21). On the other hand the matching pair reaction of (R)-**5** and (S)-**37** displays a 90/10 syn/anti selectivity at C-1/C-2, and a 92.5/7.5 anti/syn selectivity at C-2/C-3. Although these results require more experimental work to be fully rationalized, we think that the proposed model of stereoselection can be an useful working hypothesis to explain our results. In this line we postulate (see above) that the carboxamide oxygen and the aldehyde oxygen of **5** bind to the vanadium species to give a seven membered chelate, and coordination (of the carbonyl oxygen) of **37** forms diastereoisomeric complexes. These differ in stereoselectivity as shown by the fact that (R)-**5** and (S)-**5** react with (S)-**37** with different sense and degree of stereoselection.

The reactions of Scheme 5 indicate that the matching combination of aldehyde configurations is (R)-**5** with (S)-**37**. On this basis we reacted (S)-**5** with (R)-**39** as shown in Scheme 6. Indeed, this reaction was highly stereoselective³¹ affording (66% yield) a 82/87/3 mixture of the four isomers of lactone **42**, the configuration of which was demonstrated following the alkene osmylation protocol described above (Scheme 6 and Table 3). Reaction of **43** with (R)-**39** gave the two isomeric alkenes *Z*-**45** and *E*-**45** (51% yield) in 75/25 ratio. Osmylation of the *Z*-product afforded lactones **42a** and **42d** (80% yield) in a 65/35 ratio, from *E*-**45** a 58/42 mixture of **42b** and **42c** was obtained in 67% yield. Following the above described reasoning, the structures reported in Scheme 6 were assigned to these lactones. Therefore, for the coupling reaction between (S)-**5** and (R)-**39** the syn selectivity at C-1/C-2 is good (89/11 syn/anti ratio), as it is the diastereofacial selection on **39**, but, differently from the case of (S)-**37**, a preference for the C-2/C-3 syn product was observed (syn/anti ratio 90/10). By comparing the results of the reaction of Scheme 5 and 6 it is particularly relevant the very high alkoxy aldehyde diastereoface selection featured by the coupling reaction leading to C-1/C-2 syn configured products (**40c**: **40b** 99/1, **42c**: **42b** = 98/2). This makes this reaction a

Scheme 6.

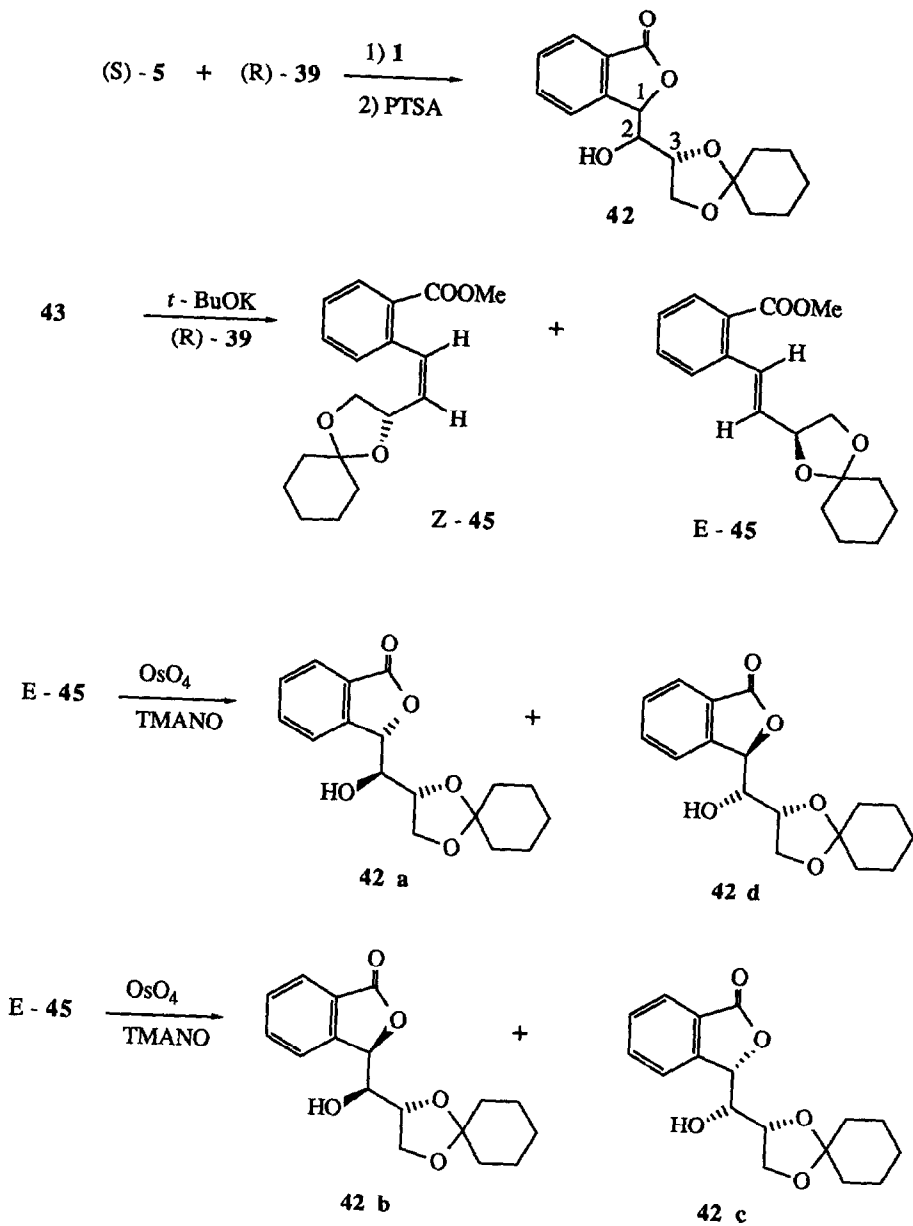


Table 3 Stereoselective synthesis of lactones **40-42** by coupling of **5** and **24** with **37-39** and by osmylation of **44** and **45**.

Reagents	Product	Yield % ^a	Diastereoisomeric ratio ^b			
			a	b	c	d
24 / (S)- 37	40	68	8	34	56	2
24 / (S)- 38	41	62 ^c	10	41	47	2
24 / (R)- 39	42	69	37	9	46	8
(S)- 5 / (S)- 37	40	63	28	51	19	2
(R)- 5 / (S)- 37	40	66	7	0.5	90	2.5
(S)- 5 / (R)- 39	42	66	8	2	87	3
Z- 44 / OsO ₄	40	73	30	-	-	70
E- 44 / OsO ₄	40	70	-	34	66	-
Z- 45 / OsO ₄	42	80	65	-	-	35
E- 45 / OsO ₄	42	67	-	58	42	-

^aOverall yield of coupling and lactonization. ^bAs determined by 300 MHz ¹H-NMR spectroscopy on crude products or enriched mixtures of isomers. ^cOverall yield of coupling, lactonization, and desilylation reactions

competitive process with respect to osmylation of E-allyl ethers that leads to the same compounds.

In conclusion we have found that the V(II) promoted pinacol coupling of aldehyde **5** with achiral aliphatic aldehydes and chiral alkoxy aldehydes represents a highly stereoselective entry to polyhydroxylated molecules. Extension of this methodology to other chiral aldehydes is currently underway in our laboratories.³²

Acknowledgments. Partial financial support by MURST-Roma is gratefully acknowledged

Table 4. Relevant ^1H -NMR data for lactones 18-23,29,30,40-42.

Compound	HC-1	HC-2	HC-3	J_{1-2}	J_{2-3}
18a	5.41	4.01	- ^a	3.8	5.3/9.0
18b	5.39	3.93	- ^a	4.8	3.3/9.0
19a	5.45	4.29	2.97	3.0	6.3/9.0
19b	5.35	3.96	3.00	7.3	3.6/9.1
20a	5.58	3.62	2.10	2.9	7.2
20b	5.43	3.59	2.15	6.6	6.2
21a	5.61	3.65	1.93	3.0	6.0
21b	5.48	3.62	1.93	6.4	6.4
22a	5.66	3.67	-	0.0	-
22b	5.35	3.28	-	8.4	-
23a	5.61	4.27	3.67	3.1	5.5/8.6
23b	5.43	3.80	3.80	7.3	- ^a - ^a
29a	5.26	3.96	- ^a	3.0	5.3/9.0
29b	5.28	4.10	- ^a	4.0	3.3/9.0
30a	5.46	3.53	2.05	2.6	7.6
30b	5.40	3.70	1.86	5.0	6.2
40a	5.43	3.87	3.93	7.9	4.7
40b	5.56	3.95	3.82	3.2	6.4
40c	5.93	3.87	3.87	0.0	- ^a
40d	5.40	3.93	4.13	9.5	1.5
41a	5.49	3.78	4.15	7.0	6.0
41b	5.81	3.86	4.08	2.0	7.0
41c	5.07	4.21	4.39	2.0	5.6
41d	5.55	3.76	4.11	3.7	3.0
42a	5.58	4.14	4.23	4.8	7.0
42b	5.54	4.49	4.20	2.5	- ^a
42c	5.76	3.99	4.31	0.0	7.9
42d	5.33	3.43	4.53	7.0	- ^a

^aUndetermined because of peak overlap.

Experimental

NMR spectra were recorded on a Bruker WP-80 or a Varian XL-300 instrument using CDCl_3 as solvent, chemical shifts are in ppm downfield from TMS IR spectra were recorded on a Perkin Elmer 377 instrument Elemental analyses were obtained on a Perkin Elmer 240 instrument. Optical rotations were measured on a Perkin Elmer 241 polarimeter. THF and Et_2O were distilled from LiAlH_4 , benzene from sodium; CH_2Cl_2 and DMF from CaH_2 , MeOH from Mg turnings. Dry solvent were stored under Argon over molecular sieves. Aldehydes 11, 37-39 were prepared according to literature procedures.²³⁻²⁶ (R)- and (S)-2-methoxymethyl pyrrolidine were prepared¹⁰ from commercially available (R)- and (S)-prolinol.

General Procedure for the Preparation of Aldehydes 5, 24, and 28.

Products 5 and 24 were prepared in four steps from phthalic anhydride via addition of amine, esterification, reduction to the alcohol and oxidation to the aldehyde. Synthesis of the esters To a suspension of phthalic anhydride (14.8 g, 100 mmol) in dry benzene (250 ml), a solution of the amine (100 mmol) in benzene (50 ml) was added dropwise, and the mixture refluxed overnight. The solvent was evaporated and the crude acid dissolved in THF (100 ml) and treated with an ethereal solution of diazomethane at 0°C to give the methyl esters in 85-92% yield after filtration on a short column of silica gel. The products were used without further purification. Reduction to the alcohols³³ To a refluxing mixture of ester (10 mmol) and NaBH_4 (0.95 g, 25 mmol) in *t*-BuOH (36 ml), MeOH (7.2 ml) was added dropwise in 0.5 ml portions over a period of 1h. After 1h at reflux, H_2O (10 ml) was added and the cooled mixture was extracted several times with CH_2Cl_2 . Evaporation of the solvent gave the crude alcohols (83-90% yield) that could be used as such. Oxidation to the aldehydes A suspension of the alcohol (10 mmol), pyridinium dichromate (3.76 g, 10 mmol), and pulverized 4A molecular sieves (1.0 g) in dry CH_2Cl_2 (20 ml) was stirred at room temperature for 24 h. The mixture was filtered through a celite cake and the solvent evaporated to give a residue that was purified by flash chromatography with a 98:2 diethylether:methanol mixture as eluant. Aldehyde (S)-5 was obtained in 87% yield as a thick oil, it had $[\alpha]_D^{22} +113.7$ (c 1.2, CHCl_3). Aldehyde (R)-5 was obtained in 85% yield, it had $[\alpha]_D^{22} -111.7$ (c 0.48, CHCl_3). Found C, 67.88, H, 6.99, N, 5.60. $\text{C}_{14}\text{H}_{17}\text{NO}_3$ requires C, 68.00, H, 6.93, N, 5.66 $^1\text{H-NMR}$ δ 9.95 (s, 1H), 7.20-7.90 (m, 4H), 4.35-4.55 (m, 1H), 3.65-4.00 (m, 2H), 3.30 (s, 3H), 2.95-3.20 (m, 2H), 1.80-2.10 (m, 4H) IR ν 2940, 1690, 1630, 1590, 1380, 1080, 730 cm^{-1} . Aldehyde 24 was obtained in 89% yield as an oil that solidifies upon standing in the freezer. Found C, 71.03, H, 6.55, N, 6.81 $\text{C}_{12}\text{H}_{13}\text{NO}_2$ requires C, 70.91, H, 6.45; N, 6.89 $^1\text{H-NMR}$ δ 9.95

(s, 1H), 7.20-7.90 (m, 4H), 3.30-3.70 (m, 4H), 1.80-2.10 (m, 4H) IR ν 2945, 1690, 1620, 1590, 1385, 1080, 730 cm^{-1} . Aldehyde **28** was prepared following the same procedure described for **24** starting from homophthalic anhydride. A 85:15 mixture of regioisomeric esters was obtained (63% yield) in favour of the desired benzoic acid derivative. Reduction (71%) and oxidation (85%) gave aldehyde **28** as a thick oil. Found: C, 72.01, H, 7.00, N, 6.39. $\text{C}_{13}\text{H}_{15}\text{NO}_2$ requires C, 71.86; H, 6.96, N, 6.45. $^1\text{H-NMR}$ δ 10.05 (s, 1H), 7.20-7.90 (m, 4H), 3.95 (s, 2H), 3.35-3.60 (m, 4H), 1.75-2.10 (m, 4H). IR ν 2940, 1690, 1650, 1400, 1080, 730 cm^{-1} .

General Procedure for the Preparation of Aldehydes 35, 36. The cesium salt²¹ of 2-formylbenzoic acid (5 mmol) was dissolved in dry DMF (10 ml) and alkylated with MeI (0.622 ml, 10 mmol) or Ph_2CHCl (2.02 g, 10 mmol) at RT for 15 h. The reaction mixture was poured into water and extracted several times with diethylether. The product was purified by flash chromatography with a 70:30 hexanes:diethylether mixture as eluant. Methyl-2-formylbenzoate **35**, a known compound,³⁴ was obtained in 75% yield. Diphenylmethyl-2-formylbenzoate, **36**, was obtained in 66% yield as a white solid, m.p. 70-71°C. Found: C, 79.81, H, 5.07. $\text{C}_{21}\text{H}_{16}\text{O}_3$ requires C, 79.73, H, 5.10. $^1\text{H-NMR}$ δ 10.05 (s, 1H), 7.00-7.90 (m, 14H), 6.05 (s, 1H). IR ν 3100, 3000, 2960, 1720, 1690, 1590, 1430, 1270, 1130, 1070, 730 cm^{-1} .

General Procedure for the Coupling Reaction. To a stirred solution of $\text{VCl}_3(\text{THF})_3$ ⁴ (0.746 g, 2 mmol) in dry CH_2Cl_2 (5 ml), Zn dust (0.078 g, 1.2 mmol) was added. After 15 min. stirring at room temperature the solution colour changed from dark red to green and the aldehyde (1 mmol) in CH_2Cl_2 (2 ml) was added dropwise. After 10 min. stirring at room temperature, the aromatic aldehyde (1 mmol) in CH_2Cl_2 (2 ml) was added over a 10 min period. Stirring was continued overnight for aliphatic aldehydes and 0.5-2 h for alkoxy aldehydes, and then the reaction was quenched by addition of 10 ml of a 1N aqueous solution of HCl. When the organic layer became clear and colourless, the two phases were separated and the aqueous phase was extracted twice with CH_2Cl_2 , the combined organic phases were washed with an aqueous solution of NaHCO_3 , dried, and evaporated to give the crude diol. This was dissolved in THF (10 ml) and to this solution PTSA (0.190 g, 1 mmol) was added, and the mixture stirred overnight at room temperature. Solid NaHCO_3 was then added, the mixture was filtered and the solvent concentrated to give the crude lactones, that were purified by flash chromatography with hexanes:diethylether mixtures as eluant (with pure diethylether in the case of compound **41**). Yields and isomer ratios are collected in Table 1-3, relevant $^1\text{H-NMR}$ data in Table 4.

Compound 18 Found: C, 69.84, H, 6.80. $\text{C}_{12}\text{H}_{14}\text{O}_3$ requires C, 69.88; H, 6.84. **18a**,

crystallized from $(i\text{-Pr})_2\text{O}$, had m.p. $106\text{--}107^\circ\text{C}$, $[\alpha]_D^{22} -40.0$ (c 1.2, CHCl_3), e.e. $\geq 96\%$. IR ν 3400, 3050, 2960, 1765, 1260, 740 cm^{-1} .

Compound 19. Found: C, 75.66, H, 5.47 $\text{C}_{16}\text{H}_{14}\text{O}_3$ requires C, 75.57, H, 5.55 **19a**, obtained from the mother liquor of the crystallization of **19a,b** from a $(i\text{-Pr})_2\text{O}$ AcOEt 4:1 mixture, had m.p. 124°C , $[\alpha]_D^{22} -55.0$ (c 1.1, CHCl_3), e.e. $\geq 96\%$. IR ν 3400, 3050, 2960, 1765, 1260, 730 cm^{-1} .

Compound 20. Found: C, 69.91, H, 6.90 $\text{C}_{12}\text{H}_{14}\text{O}_3$ requires C, 69.88, H, 6.84. **20a** had m.p. $140\text{--}141^\circ\text{C}$, $[\alpha]_D^{22} -60.3$ (c 0.5, CHCl_3), e.e. 84%. IR ν 3400, 3050, 2955, 1760, 1250, 730 cm^{-1} .

Compound 21. Found: C, 73.03, H, 7.41. $\text{C}_{15}\text{H}_{18}\text{O}_3$ requires C, 73.14, H, 7.37 **21a**, crystallized from $(i\text{-Pr})_2\text{O}$ had m.p. 134°C , $[\alpha]_D^{22} -53.7$ (c 0.8, CHCl_3), e.e. $\geq 96\%$. IR ν 3400, 3040, 2960, 1765, 1250, 740 cm^{-1} .

Compound 22. Found: C, 71.00, H, 7.38. $\text{C}_{13}\text{H}_{16}\text{O}_3$ requires C, 70.89, H, 7.32. **22a**, had m.p. 143°C , $[\alpha]_D^{22} -51.0$ (c 0.2, CHCl_3), e.e. 50%. IR ν 3400, 3040, 2960, 1760, 1260, 740 cm^{-1} .

Compound 23. Found: C, 71.89, H, 5.73 $\text{C}_{17}\text{H}_{16}\text{O}_4$ requires C, 71.82, H, 5.67. The two diastereoisomers were not separated the mixture was a thick oil IR ν 3400, 3040, 2960, 1760, 1250, 1150, 1070, 740 cm^{-1} .

Compound 29. Found: C, 70.97, H, 7.27 $\text{C}_{13}\text{H}_{16}\text{O}_3$ requires C, 70.89, H, 7.32. The two diastereoisomers were not separated. The mixture was an oil that solidifies in the freezer IR ν 3400, 3040, 2960, 1745, 1250, 740 cm^{-1} .

Compound 30. Found: C, 70.99, H, 7.37. $\text{C}_{13}\text{H}_{16}\text{O}_3$ requires C, 70.89, H, 7.32. The two diastereoisomers were not separated. The mixture was a low melting material IR ν 3400, 3040, 2965, 1740, 1250, 740 cm^{-1} .

In the case of lactones **40–42** the products were obtained as mixture of diastereoisomers. They were thick colourless oils.

Compound 40. Found: C, 72.40, H, 6.13 $\text{C}_{18}\text{H}_{18}\text{O}_4$ requires C, 72.47, H, 6.08. IR ν 3400, 3040, 2940, 1760, 1600, 1440, 1250, 735 cm^{-1} .

Compound 41. Found: C, 63.41, H, 5.78 $\text{C}_{11}\text{H}_{12}\text{O}_4$ requires C, 63.45, H, 5.81. IR ν 3300, 3040, 2950, 1755, 1440, 1250, 735 cm^{-1} .

Compound 42. Found: C, 66.94, H, 6.66 $\text{C}_{17}\text{H}_{20}\text{O}_5$ requires C, 67.09, H, 6.62. IR ν 3400, 3050, 2920, 1755, 1595, 1450, 1260, 1040, 730 cm^{-1} .

Synthesis of alkene 25. To a suspension of butyltriphenyl phosphonium bromide (0.8 g, 2 mmol) in dry diethylether (20 ml) a 1M solution of $n\text{-BuLi}$ in hexane (2 ml, 2 mmol) was added dropwise and the mixture stirred for 4 h at RT. Aldehyde **24** (0.406 g, 2 mmol) in

diethylether (20 ml) was then added, and the mixture stirred at RT for 15 h. The reaction was quenched by addition of a dilute HCl solution, the organic phase was separated, washed with water, dried and concentrated to give the crude product that was purified by flash chromatography with a hexanes ethylacetate 30:70 mixture as eluant. The required E-alkene (J CH=CH 15.8 Hz) was obtained as an oil in 21% yield (along with a 43% yield of Z-alkene). Found C, 79.05, H, 8.63, N, 5.70 $C_{16}H_{21}NO$ requires C, 78.97, H, 8.70, N, 5.76. IR ν 2940, 2860, 1630, 1610, 1415, 1380, 1080, 730 cm^{-1} .

General Procedure for the Synthesis of Alkenes 44 and 45. A stirred solution of methyl-2-bromomethylbenzoate³⁵ (2.29 g, 10 mmol) and triphenylphosphine (2.62 g, 10 mmol) in toluene (100 ml) was refluxed for 15h. A white precipitate was formed, that was filtered, washed with toluene and hexane, dried under vacuum and used without further purification. To a suspension of the phosphonium salt (1.0 g, 2.43 mmol) in dry benzene (30 ml) cooled at 0°C, potassium t-butoxide (0.272 g, 2.43 mmol) was added in one portion. Cooling was removed and the mixture stirred at room temperature for 1h. To the orange solution, a benzene (10 ml) solution of the aldehyde (2.5 mmol) was added dropwise, and the mixture stirred for 4 h. A saturated aqueous solution of NH_4Cl was then added, the layers were separated, and the aqueous phase was extracted three times with diethylether. Evaporation of the solvent gave the crude olefins that were purified by flash chromatography with a 80:20 hexanes diethylether mixture as eluant. The products were oils. **Compound 44** 53% yield. Found C, 76.91, H, 6.73. $C_{19}H_{20}O_3$ requires C, 77.00, H, 6.80. IR ν 3040, 2940, 1710, 1610, 1415, 1080, 730 cm^{-1} . E-isomer δ 7.15-7.95 (m, 10H), 6.05 (dd, 1H, J = 16.0, 8.0 Hz), 4.40-4.80 (AB system, 2H), 4.00-4.35 (m, 1H), 3.90 (s, 3H), 1.35 (d, 3H, J = 7.0 Hz), $[\alpha]_D^{22}$ -13.7 (c 0.3, $CHCl_3$). Z-isomer δ 7.00-8.00 (m, 10H), 5.65 (dd, 1H, J = 11.4, 9.3 Hz), 4.10-4.50 (AB system, 2H), 3.95-4.10 (m, 1H), 3.85 (s, 3H), 1.35 (d, 3H, J = 7.0 Hz), $[\alpha]_D^{22}$ -18.0 (c 0.5, $CHCl_3$). **Compound 45** 51% yield. Found C, 71.57, H, 7.27. $C_{18}H_{22}O_4$ requires C, 71.50, H, 7.33. IR ν 3040, 2950, 1710, 1610, 1410, 1080, 740 cm^{-1} . E-isomer δ 7.10-7.95 (m, 5H), 6.05 (dd, 1H, J = 15.8, 8.0 Hz), 4.60-4.85 (m, 1H), 3.60-4.30 (m, 2H), 3.90 (s, 3H), 1.30-1.80 (m, 10H), $[\alpha]_D^{22}$ +14.3 (c 1.2, $CHCl_3$). Z-isomer δ 6.95-7.90 (m, 5H), 5.60 (dd, 1H, J = 11.2, 9.0 Hz), 4.35-4.70 (m, 1H), 3.30-4.00 (m, 2H), 3.80 (s, 3H), 1.25-1.70 (m, 10H), $[\alpha]_D^{22}$ +19.6 (c 0.5, $CHCl_3$).

Synthesis of 18a by osmylation of 25.⁵ To a stirred solution of **25** (0.061 g, 0.25 mmol) and acetyldihydroquinidine (0.120 g, 0.33 mmol) in toluene (5 ml) cooled at 0°C, a 0.2 M solution of OsO_4 in toluene (1.65 ml, 0.33 mmol) was added dropwise. The mixture was stirred at RT for 22h. The reaction was quenched by addition of an aqueous solution of $NaHSO_3$, the mixture filtered, and the aqueous phase extracted twice with dichloromethane,

dried, and evaporated to give the product that was converted, as described above into lactone **18a**, $[\alpha]_D^{22} -32.0$ (c 1.3, CHCl_3), e.e. 80%

Osmylation of 44 and 45. To a stirred solution of alkene (1 mmol) and trimethylamine-N-oxide dihydrate (TMANO 0.222 g, 2 mmol) in THF (9 ml) and H_2O (1 ml), a 0.01 M solution of OsO_4 in t-BuOH (2 ml) was added and the mixture stirred at room temperature for 12-15 h. A saturated aqueous solution of NaHSO_3 was then added and, after 30 min stirring, diethylether was added and the two phases separated. The aqueous layer was extracted twice with diethylether and the combined organic phases dried and concentrated to give the crude lactones, **40** and **42** that were purified by flash chromatography (see above)

Synthesis of (S)-26 from enantiomerically pure 18a. To a crystallized sample of **18a** (0.144 g, 0.7 mmol) dissolved in 1,2-dichloroethane (2 ml), was added thiocarbonyl imidazole (0.178 g, 1.4 mmol) in 1,2-dichloroethane (0.5 ml). The reaction was refluxed for 4h and concentrated in vacuum. The residue was dissolved in dichloromethane, the organic phase was washed with 1N aqueous HCl solution, then with a 5% aqueous NaHCO_3 solution, and with water. The product was purified by flash chromatography with a 60/40 hexane ethylacetate mixture as eluant. The yield was 53%. A toluene (2 ml) solution of this product was slowly added to a refluxing solution of Bu_3SnH (0.151 ml, 0.57 mmol) in degassed toluene (10 ml). The solution was refluxed for 5h. Evaporation of the solvent gave the crude product, that was purified by flash chromatography with a 70/30 hexanes diethylether mixture as eluant, to give (S)-3-butylphthalide **26** in 84% yield. This product had $[\alpha]_D^{22} -66.4$ (c 1, CHCl_3), lit. ¹⁷ $[\alpha]_D^{22} -50.0$ (CHCl_3), for a sample of 88% e.e.

Synthesis of 34 and chemical correlation with 29a. Triol **34** was obtained in five steps from isochromanone **31**³⁶ by reduction (LiAlH_4 , Et_2O , RT, 1h) protection (t-BuPh₂SiCl, imidazole, DMF, RT, 15h), and oxidation (PDC, CH_2Cl_2 , RT, 15 h) of the crude silylation product **32**, to give the corresponding aromatic aldehyde. This was isolated in 57% overall yield from **31** as an oil, and transformed into alkene **33** as described above for the synthesis of **25**.

Compound 33 was obtained as a 60/40 mixture of Z and E isomers in 65% yield. Found C, 81.41, H, 8.37. $\text{C}_{29}\text{H}_{36}\text{O}_5$ requires C, 81.25, H, 8.46. IR ν 2940, 2860, 1610, 1410, 730 cm^{-1} . E-**33** δ 7.05-7.65 (m, 14H), 6.57 (d, 1H, J 15.8 Hz), 6.00-6.05 (m, 1H), 3.70-3.85 (m, 2H), 3.01 (t, 2H), 2.07-2.15 (m, 2H), 1.40-1.50 (m, 2H); 1.00 (s, 9H), 0.95 (t, 3H). Catalytic osmylation of **33** (see above for the synthesis of **40** from **44**) and deprotection (Bu_4NF , THF) gave triol **34** (76% overall yield from **33**). This product (a waxy solid)

was obtained from the major isomer (**29a**) of the pinacol coupling of **28** with **6** in the presence of **1** (Table 2) by LiAlH_4 reduction (93% yield) in refluxing diethylether, after flash chromatography with a 95.5 diethylether methanol mixture as eluant. Found C, 69.52, H, 9.04. $\text{C}_{13}\text{H}_{20}\text{O}_3$ requires C, 69.61, H, 8.99. Relevant $^1\text{H-NMR}$ data δ 4.71 (d, 1H, J 7.5 Hz), 3.80-3.96 (m, 3H), 2.90-3.05 (ddd, 2H, J 7.5, 5.6, 5.6 Hz). IR ν 3300, 2940, 2860, 1250, 1080, 740 cm^{-1} .

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- 11) Alternative procedures, e.g. one step synthesis of **4** from phthalide, or direct conversion of **3** to **5**, gave unsatisfactory yields
- 12) Slow addition¹ of the chelating aldehyde was not necessary in this case, self-coupling of (S)-**5** occurring to a very limited extent.

- 13) This procedure did not alter the stereochemical result indeed in some cases the mixtures of diols were isolated and their diastereoisomeric ratios determined by 300 MHz $^1\text{H-NMR}$ spectroscopy at 60° (to avoid problems related to slow rotation around the amide bond), the ratios well agreed with those determined for the corresponding lactones
- 14) However this was not attempted in the case of low-boiling (S)-2.
- 15) The major isomers could generally be obtained diastereoisomerically pure by flash chromatography In the case of compounds **18a**, **19a**, and **21a** crystallization upgraded the e.e 's to $\geq 96\%$.
- 16) Yields and diastereoselections of these reactions were virtually identical to those reported in Scheme 1 and Table 1
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- 19) In agreement with this hypothesis, preliminary experiments indicated that an increase of the steric requirements of the chiral auxiliary leads to an increased enantioselectivity
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